

SECTOR #

PATENT

Attorney Docket No. 1800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

MAGARAM et al.

Serial No. 09/332,459

Filed: June 14, 1999



Group Art Unit: 2761

Examiner: Not Assigned

For: METHOD AND SYSTEM FOR  
REPRESENTING DEPENDENCIES  
IN A FINANCIAL PLAN

Assistant Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Applicant hereby responds to the NOTICE TO FILE MISSING PARTS OF APPLICATION dated July 14, 1999. This application is on behalf of a large entity, and pursuant to 37 C.F.R. § 1.16(e), the surcharge for filing this Response is \$130.00.

Submitted with the response and surcharge is a filing fee in the amount of \$760.00, a fee of \$234.00 for extra claims, an Executed Declaration of inventors, and a copy of the Notice to File Missing Parts of Application.

The total fee due is:

Basic Filing Fee	\$760.00
Surcharge	\$130.00
Extra Claim Fees	<u>\$234.00</u>
Total Fee Due:	\$1,124.00

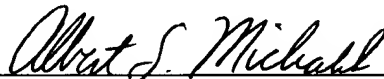
☒ Charge Deposit Account No. 500463 the sum of \$1,124. A duplicate of this transmittal is attached.

In re Application of MAGARAM et al.  
Serial No. 09/332,459

- ☒ If any additional fee is required in connection with this response, please charge Deposit Account No. 500463.
- ☒ If any overpayment has been made in connection with this response, please credit Deposit Account No. 500463.

Date: August 28, 1999

Respectfully submitted,



Albert S. Michalik, Reg. No. 37,395  
Michalik and Wylie, PLLC  
14645 Bel-Red Road  
Suite 103  
Bellevue, WA 98007  
(425) 653-3520 (telephone)  
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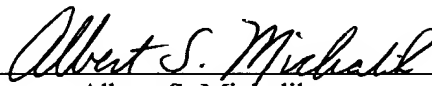
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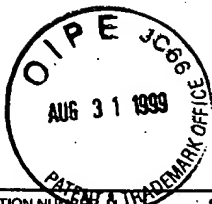
CERTIFICATE OF MAILING

I hereby certify that this Response (in duplicate), Executed Declaration of Inventors, and copy of the notice are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: August 28, 1999

  
Albert S. Michalik

#2



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/332,459 06/14/99 MAGARAM

1800

0222/0714

ALBERT S MICHALIK  
MICHALIK & WYLIE PLLC  
14645 BEL RED ROAD  
SUITE 103  
BELLEVUE WA 98007

NOT ASSIGNED

2761

DATE MAILED:

07/14/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 1124.

- ☒ 1. The statutory basic filing fee is:  
☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. The following additional claims fees are due:

\$ 234 for 13 total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:  
☒ is missing or unsigned.

☒ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

09/01/1999 MAGSAMI 00000033 500643  
09332459  
760.00  
234.00  
130.00  
50.00  
103 FC:103  
102 FC:105  
101 FC:101  
U.S. GPO: 1998-146-824